

10-15-01

A

JCI143 U.S. PTO
10/12/01

Practitioner's Docket No. 939_030

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 12, 2001 under "EXPRESS MAIL" mailing label number EV 002151290 US.

Gina M. Husak

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO
Address: 2-56, Suda-cho, Mizuho-ku
Nagoya, Aichi-Pref. 467-8530
JAPAN

Inventor 2: Masashi FUKUYAMA
Address: 2-56, Suda-cho, Mizuho-ku
Nagoya, Aichi-Pref. 467-8530
JAPAN

Title: FIBER ARRAY, METHOD FOR FABRICATING THE SAME AND
OPTICAL DEVICE USING THE FIBER ARRAY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 11 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1(a)-4(c))

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and a certified copy of: Japanese Applications
2000-316970 filed October 17, 2000
and 2001-258465 filed August 28, 2001
- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
2-56, Suda-cho, Mizuho-ku
Nagoya, Aichi-Pref. 467-8530
JAPAN

J1040 U.S. PTO
09/976462
10/12/01

09976462 101201

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300

Electronic Mail: vananl@macklaw.com

Facsimile: (315) 233-8320

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. Small Entity Status

☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 740.00
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
- B. Fees for Claims (9 Claims; 1 Indep.)
☐ each independent claim in excess of 3
(37 C.F.R. 1.16(b) --\$84.00, small entity--\$42.00) \$.00
☐ each claim in excess of 20
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00) \$.00
☐ multiple dependent claim(s)
(37 C.F.R. 1.16(d) --\$280.00; small entity--\$140.00) \$.00
- C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00) \$.00
- NOTE. If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$.00
(37 C.F.R. 1.17(h) and 1.47--\$130.00)
- E. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(i) and 1.52(d) --\$130.00)
- F. ☒ Assignment Fee \$ 40.00

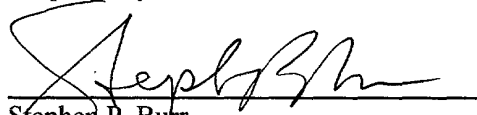
Total Fees Due \$ 910.00

09076462-101201

**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

October 12, 2001

Date

SPB/gmh

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

09976463 101201